



STIC Search Report

EIC 3700

STIC Database Tracking Number: 102359

TO: Chanda Harris
Location: cp2 10d10
Art Unit: 3714
Friday, September 05, 2003

Case Serial Number: 09/934774

From: John Sims
Location: EIC 3700
CP2, 2C08
Phone: 308-4836

john.sims@uspto.gov

Search Notes

Chanda - This search did not produce much in the way of relevant results.

Access DB# 102359

SEARCH REQUEST FORM

Scientific and Technical Information Center

Requester's Full Name: Chanda Harris Examiner #: 77264 Date: 8/25/03
Art Unit: 3714 Phone Number 30 8-8358 Serial Number: 09/934,774
Mail Box and Bldg/Room Location: 10D33/1010 Results Format Preferred (circle): PAPER DISK E-MAIL

If more than one search is submitted, please prioritize searches in order of need.

Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: System and methods for training a trainee to classify fundamental properties of media entities
Inventors (please provide full names):

Geoffrey Stanfield
Earliest Priority Filing Date: 8/21/01

For Sequence Searches Only Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

I deas for searchestrain\$ + (assign\$ or classify\$) + mediatrain\$ near (assign\$ or classify\$) ^{same} ~~media~~ mediaSee Claim 1.

STAFF USE ONLY

	Type of Search	Vendors and cost where applicable
Searcher: <u>JS</u>	NA Sequence (#) _____	SPN _____
Searcher Phone #: <u>307-4836</u>	AA Sequence (#) _____	Dialog <u>✓</u>
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Date Completed: <u>9/05/03</u>	Litigation _____	Lexis/Nexis _____
Searcher Prep & Review Time: _____	Fulltext _____	Sequence Systems _____
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Online Time: _____	Other _____	Other (specify) _____

your case

3/7/1 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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015378345 **Image available**

WPI Acc No: 2003-439283/200341

Online training method for analyzing music, involves providing classification information about fundamental properties and comparing value assigned to fundamental properties by trainee with expert assigned value

Patent Assignee: MICROSOFT CORP (MICT)

Inventor: ~~BASSMAN E~~; ~~STANFIELD G R~~

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20030041066	A1	20030227	US 2001934774	A	20010821	200341 B

Priority Applications (No Type Date): US 2001934774 A 20010821

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 20030041066	A1		16	G06F-007/00	

Abstract (Basic): US 20030041066 A1

NOVELTY - A definitional classification information about rhythm and mood, is rendered to the trainee, in order to educate fundamental properties. A value is assigned to the fundamental property of the media entity such as song, by the trainee. The trainee assigned value is compared with the expert assigned value, to determine a group of fundamental properties for which trainee is qualified to code values for media entities.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for the following:

- (1) computer readable medium storing online training program;
- (2) computer executable instructions carrying modulated data signal;
- (3) training process performing device;
- (4) computing system; and
- (5) music analyzing method.

USE - For providing training to analyze music.

ADVANTAGE - Enables to generate uniform classification of media, by providing trainee according to expert assigned values.

DESCRIPTION OF DRAWING(S) - The figure shows a block diagram of computer network.

pp; 16 DwgNo 1/5

Derwent Class: T01; W04

International Patent Class (Main): G06F-007/00

3/3,AB/1

DIALOG(R)File 350:Derwent WPIX

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003093100

WPI Acc No: 1981-K3148D/198140

Teaching laboratory control circuit - uses learner profile processing and forecasting units coupled to memory and operational controls respectively

Patent Assignee: KIEV POLY (KIPO)

Inventor: KORNEICHUK V I; PAVLOVSKII V I; SOROKA V N

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
SU 785888	B	19801215				198140 B

Priority Applications (No Type Date): SU 2720662 A 19790202

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
SU 785888	B		3		

Abstract (Basic): SU 785888 B

A teaching laboratory control circuit uses the learner profile process and forecasting units to facilitate the program *planning* *according* to *students* *ability* and *expert* advice. The image recognition circuit contain the parameter comparator register and the weight coefficients evaluation comprising the counter and zero sensor. The forecasting unit contains counters and maximum value discriminator.

During the operation the controls accumulate the statistical data regarding learning speed, psychological and physiological characteristics of the students. The data is used to form the learners profile in the memory and to predict performance. Coincidence of the profile parameter enables further data processing to evaluate the students ability. The arrangement allows individual student characteristics and expert knowledge to be taken into account.

Bul.45/7.12.80 (3pp)

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5/3,AB/1

DIALOG(R)File 350:Derwent WPIX
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014296003

WPI Acc No: 2002-116706/200216

XRPX Acc No: N02-087186

***Learning* from *oral* *material* *prerecorded* by teacher, compares
several teacher's voices with student's voice using common text based
features analysis and chooses teacher whose voice matches with student's
voice**

Patent Assignee: NEWTON KK (NEWT-N)

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 2001051580	A	20010223	JP 99224610	A	19990806	200216 B

Priority Applications (No Type Date): JP 99224610 A 19990806

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
JP 2001051580	A	4	G09B-005/06	

Abstract (Basic): JP 2001051580 A

Abstract (Basic):

NOVELTY - Preselected text based vocal recordings of several teachers are matched by turns with that of an individual student, through a feature extraction-cum-characteristics comparison process. The teacher's voice that matches the student's voice, is chosen as the vocal recording medium to prepare the oral instruction material that is specific to that student.

USE - Prerecorded oral material based learning, particularly in regard to language learning with emphasis on pronunciation is gaining currency and is of relevance to other learning areas/techniques as well.

ADVANTAGE - The learning process as a whole can be significantly advanced, student matched teacher voice proving quite effective.

DESCRIPTION OF DRAWING(S) - The figure shows the functional block diagram of vocal learning apparatus. (Drawing includes non-English language text).

pp; 4 DwgNo 1/2

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3/7/6 (Item 1 from file: 148)
DIALOG(R) File 148:Gale Group Trade & Industry DB
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05159372 SUPPLIER NUMBER: 10708054 (THIS IS THE FULL TEXT)

Expert system shell. (evaluation)

Myers, Judy
Library Software Review, v10, n2, p136(4)
March-April, 1991

TEXT:

EXPERT SYSTEM SHELL

Product: VP-Expert, version 2.11
Publisher: Paperback Software
2830 Ninth Street
Berkeley, CA 94710
(415) 644-2116
Hardware: IBM PC/XT/AT/PS2 or compatible;
384K RAM; hard drive
recommended; mouse supported
Software: MS/PC DOS 2.1 or later
Price: \$249
Copy Protection: None

VP-Expert is a popular mid-priced expert system shell. What is an expert system shell, and why might you want to use one? An expert system shell provides software tools for developing expert systems, just as a spreadsheet program provides software support for spreadsheets. A shell lets the developer concentrate on the knowledge that is to be included in the expert system without having to write programs to process the rules and present the screen displays.

Expert Systems

Expert systems have captured the public fancy, but they are very structured tools that have only limited areas of application. They have proven to be useful as decision-support systems in areas of knowledge that are characterized by structures, patterns, and rules. Expert systems should find many uses in libraries, because we are in the business of providing knowledge structures to facilitate the storage and retrieval of information. However, expert systems have generally been most useful in small fields of knowledge that have clear borders or limits. Most of the expert systems being developed in libraries are aimed at small-scale tasks such as choosing appropriate reference sources in chemistry, classifying music scores, and selecting an appropriate binding or preservation treatment. These tasks have in common that correct answers exist (on which experts can agree) and that the tasks are too complex and multifaceted to express easily in a list or text.

VP-Expert is a backward-chaining rule-based shell. This is the most prevalent type of expert system. Backward-chaining is most useful when there are a small number of possible outcomes, as in the following examples. Rules are statements in this form:

If x

Then y. For example:

If the chemical you are researching is organic,

Then use Beilstein. VP-Expert rules can also include an Else clause:

Else use Chemical Abstracts.

Features to Assist the Developer

VP-Expert offers several options for getting a knowledge base into the rules. The developer can create rules directly by using the built-in, WordStar-like rule editor or by recording information in an induction table. Induction tables may be created with the VP-Expert editor or may be read from files created by word processors, spreadsheets, or databases. Figure 1 shows an initial version of an induction table to assist staff in

making decisions about handling damaged volumes. The asterisks indicate information that is not needed to make the decision that is described in that particular row.

An induction table for a completed expert system would need to have rows for all of the possible combinations of factors, such as brittle books with missing pages. It could also have more rows for types of damage and more columns for decision factors such as availability of duplicate copies. However, the table illustrates some of the advantages and disadvantages of induction tables. The table is easy to read, to debug, and to modify. On the other hand, it is repetitive, and VP-Expert does not allow placing greater-than and less-than symbols in the table.

I created the table in Figure 1 as part of a WordPerfect text, then saved the table as an ASCII text file, MEND.TBL. I then converted the table into an initial version of a working expert system by starting VP-Expert and selecting the "Induce" command. Here is the first part of the knowledge base that VP-Expert created from that table:

```
ACTIONS
FIND Treatment
DISPLAY "The value of Treatment is {Treatment}";
RULE 0
IF MATERIAL_Type=Journal AND
Type_Of_Damage=MissingPages AND
Brittle=No
THEN Treatment=Mend;
```

The result is an expert system that is ready to test, without the developer having to deal with the structure or syntax of the knowledge base. The induction feature thus rewards the developer with a testable product very quickly, and makes it very easy to focus on the content of the expert system instead of the syntactical details. Testing the expert system that was generated from the table above quickly showed that the columns for Pub_Date and Last_Use should be reversed, because the Pub_Date question need not be asked if Last_Use is "1980_or_later."

User Interface

An expert system that is generated by the "Induce" command will have the default user interface, which provides menus of user choices in this form:

```
What is the value of Material_Type?
Journal Book
```

As each question is answered (by selecting an item with the arrow keys, the Enter key, and then the End key) the next question scrolls on to the screen:

```
What is the value of Type_Of_Damage?
Missing_Pages Broken_Spine
```

Users may also ask "How" the expert system achieved a particular result, or "Why" a particular question is being asked. VP-Expert provides default responses to these queries by displaying the applicable rules. The default user interface is intended for developers. Most end-users will need more informative screens.

Once the basic expert system is working properly and is ready for wider testing, several VP-Expert tools and commands may be used to improve the user interface to make the expert system clearer and more attractive. For example, the default "Ask" text in each rule may be edited to present a more felicitous query:

```
What is the material type?
Journal Book
```

A "Because" statement included in each rule can provide a tailored response to a user's "Why" or "How" query. Additional commands are available to create windows and to set screen colors. These improvements to the user interface were much needed, and they address one of my major concerns about version 1.0.

Graphics

It's possible to make the user interface even fancier with graphic images, support for the use of a mouse for selecting items, and a limited form of hypertext. One concern I have is that the developer must specify a graphics mode. This is a problem for developers who cannot know what kind of hardware will be running the expert system. Most of the current programs that support graphics (such as painting and drawing programs) will automatically select a video mode to match the hardware and will display images at the best available resolution.

There are a number of graphic images on the examples disk, including gauges (for showing values to the user, or for the user to indicate values with a mouse), buttons (to make selections by clicking the mouse), and fields (for data entry or to display the values of variables). You can't import graphic images from standard painting or drawing programs; you must construct them with a set of primitive drawing tools. The graphic functions seem best suited to the design of forms or control panels rather than free-form images.

Limited Hypertext

This version includes a limited form of hypertext, which has been the hot feature added to programs over the past couple of years. The hypertext feature consists of support for pop-up help screens. This is an appropriate enhancement to the user interface, but VP-Expert includes only this single type of hypertext link. It has not become a hypertext environment comparable to HyperCard, HyperWriter, or even KnowledgePro. The pop-up screens may lead to more screens, giving users only as much information as they need and on only the topics they select. The hypertext feature is available only in the graphics mode. It would be helpful to be able to add hypertext help screens to text-only presentations.

Example Files

The examples disk contains several versions of one expert system with progressively enhanced user interfaces. This set of examples serves as a very good tutorial on the user interface features.

Once the expert system is completed and ready for use, the "Runtime" command also improves the user interface by replacing the underlines that separate words in variable names (for example "Broken__Spine") with spaces.

Runtime Software and License

Most developers will want to block access to the editing commands before putting an expert system out for public use. There is no way to do this with the standard VP-Expert program, but a separate runtime version of the software is available as an extra-cost option. In the past there has been an annual license fee of \$300 for use of the runtime software. Recently, the publisher has begun to offer the runtime software and license for a onetime fee of \$100 for use of an unlimited number of copies within a single educational institution. These terms are much more suited to the needs of most libraries.

Many users of VP-Expert may never know about the availability or the cost of the runtime software and license, because neither is mentioned in the manual (although they are mentioned in the company's advertisements).

Advanced Features

In addition to being able to induce rules from several types of external files, VP-Expert knowledge bases can read from and write to files in several formats, including spreadsheets, databases, and ASCII text. Menus may be created from the contents of specified ranges or fields of spreadsheet or database files. This means that the items listed on a menu may be revised by editing a spreadsheet or a database, rather than by editing and recompiling the knowledge base of the expert system. Also, VP-Expert programs can alter the content of spreadsheet or database files. This is a very powerful capability for development of intelligent front ends for searching and data entry.

VP-Expert can execute DOS commands and external programs. A new feature is the ability to pass values and variables to external programs. VP-Expert can perform calculations, including floating-point math, and

supports various scientific functions, including absolute values, logs, sines, exponents, and square roots. Confidence factors can be assigned to each rule and each response. If no value is assigned, VP- Expert assigns a value of 100.

A new rule type in this version is the "Whenever" rule. The "If/Then" rules are processed in sequence, but the "Whenever" rule watches a consultation and acts whenever certain conditions are true.

Documentation and Support

The documentation for VP-Expert has always been considered a good model. Most of the software's features are explained and illustrated clearly and comprehensively. Examples are first presented in a very simple form and are then elaborated. The result is a comfortable learning curve. The manual and sample programs can serve as an effective tutorial on rule-based expert systems (albeit one that is limited to features of VP-Expert).

The index and table of contents, however, need much improvement. No page numbers are given for chapter subheadings in the table of contents. The index fails to list all of the sections that contain significant amounts of important text on such features as hypertext and the runtime environment.

No telephone number is given in the manual, but there is an address. I found the telephone number in one of the company's advertisements. I had not had problems in using the software, so I called for information about whether I had the current software version, the runtime license, the limits on the size of external spreadsheet and database files, and whether any kind of pop-up help feature could be implemented without using the graphics modes. Technical support is available beginning at 9:00 a.m. Pacific time. The support staff took several hours to return my call, but they were well informed and helpful.

The only new feature added between versions 2.0, 2.1, and 2.11 was the capability to pass values to .BAT files. The manual says that external values may not be passed to .BAT programs, but the technical support staff says the manual is outdated on this point. The rest of the changes have been bug fixes. Version 2.2, to be released soon, will fix a bug that keeps one of the examples in the manual from working properly.

Conclusion

VP-Expert has deservedly been the leader in the mid-priced shell market for several years. Improvements in the user interface and runtime license will help keep it in the lead. The basic VP-Expert package is commendably complete. Even many of the high-priced shells come without the capability to read and write database and spreadsheet files. These programs cost even more than they seem to at first, because users find that they need to purchase additional components in order to develop their expert system.

Wish List

I consider the omission of any type of hypertext help-screen capability for non-graphics applications to be the most serious deficit in this version. With hypertext, users are given additional information only when they need it. Suppose, for example, our expert system for preservation decisions is intended for use by staff at branch libraries and the circulation desk. Some of the staff will know how to tell if pages are brittle, but others will not. It would be helpful to be able to give information on testing for brittle pages only to users who need it.

The publisher intends the "Induce" feature of VP-Expert to be an aid in the initial creation of a knowledge base, and "Induce" is a great help at this stage. However, if the induction table could include all of the qualifiers that can be included in rules (such as the greater-than and less-than symbols), then the "Induce" feature could be used throughout development. This would be a great advantage, because induction tables are much easier to create and check than a set of rules. In addition, if the "Induce" command could include preferred forms of the "Ask" statements

(such as "What is the material type?" to replace "What is the value of MaterialType?"), it would be much easier for a developer to work with end-users during development of an expert system.

PHOTO : Figure 1. VP-Expert Induction Table.

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\$0.65 0.120 DialUnits File636
\$0.65 Estimated cost File636
\$0.21 0.041 DialUnits File647
\$0.21 Estimated cost File647
\$0.15 0.037 DialUnits File674
\$0.15 Estimated cost File674
\$0.21 0.037 DialUnits File696
\$0.21 Estimated cost File696
OneSearch, 30 files, 2.974 DialUnits FileOS
\$1.40 TELNET
\$19.25 Estimated cost this search
\$19.28 Estimated total session cost 3.056 DialUnits

Logoff: level 02.19.00 D 11:20:50

You are now logged off

Set	Items	Description
S1	0	(EXPERT? ? OR TRAINEE? ?) () (ASSIGNED(1N)VALUE? ?)
S2	10	(EXPERT? ? OR TRAINEE? ?) () (ASSIGN??(2N)VALUE? ?)
S3	6	RD (unique items)

? ds

Set	Items	Description
S1	2	AU='STANFIELD G R'
S2	2	AU='BASSMAN E'
S3	3	S1:S2

? show files

File 347:JAPIO Oct 1976-2003/May(Updated 030902)
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File 350:Derwent WPIX 1963-2003/UD,UM &UP=200356
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File 371:French Patents 1961-2002/BOPI 200209
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? ds

Set	Items	Description
S1	1	AU='STANFIELD GREGORY R'
S2	182098	ASSESS? OR EVALUATE? OR GRADE? OR ANALYZE? OR ANALYSE?
S3	7026	ASSIGN?(3N) (VALUE? ? OR GRADIENT? ?)
S4	3483	STUDENT? ? OR TRAINEE? ? OR BEGINNER? ?
S5	25260	EXPERT OR EXPERIENCED OR TEACHER
S6	418455	PROPERT? OR CHARACTERISTIC? ? OR ATTRIBUTE? ? OR VARIABLE?
S7	33	S2 AND S3 AND S4 AND S5 AND S6
S8	1091382	MUSIC? OR ART? ? OR PHOTOGRAPH? OR DANCE?
S9	33	S7 AND S8

? show files

File 348:EUROPEAN PATENTS 1978-2003/Aug W04
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? ds

Set	Items	Description
S1	3346468	STUDENT? ? OR TRAINEE? ? OR BEGINNER? ?
S2	10308649	ASSESS? OR EVALUATE? OR GRADE? OR ANALYZE? OR ANALYZE? OR - CLASSIF?
S3	31583	ASSIGN? (4N) (VALUE? ? OR GRADIENT?)
S4	6855875	EXPERT? OR EXPERIENCED OR TEACHER? ?
S5	10937974	PROPERT? OR CHARACTERISTIC? ? OR ATTRIBUT? OR VARIABLE?
S6	7128903	MUSIC OR MEDIA
S7	3940	S1 AND S3
S8	455435	S2 AND S4 AND S5
S9	360	S6 AND S7 AND S8
S10	273	RD (unique items)
S11	236	S10 AND PY<2002
S12	121	S11 NOT TEACHER?
S13	51	S12 NOT EXPERIENCED
S14	159	(TRAIN? (4N) (ASSIGN? OR CLASSIF?)) (S) MEDIA
S15	141	S14 AND PY<2002
S16	101	RD (unique items) <i>none relevant</i>

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File 696:DIALOG Telecom. Newsletters 1995-2003/Sep 04
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